LBNL COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENT and

STRATEGIC PARTNERSHIP PROJECT (“Agreement”)

STATEMENT OF ECONOMIC INTEREST

Related to

PROPOSAL NO. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

between

THE UNIVERSITY OF CALIFORNIA

and

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

The following statement concerning possible conflicts of interest for employees of the University of California having a substantial role (including an advisory role) in the negotiation or approval of Agreement documents or performance of the research is hereby executed pursuant to Clauses 1.138 (n)(5) of Contract DE-AC02-05CH11231.

I, \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, hereby certify to the best of my knowledge that neither I, nor my spouse, child, parent, sibling or partner, or an organization (other than the University of California) in which I serve as an officer, director, trustee, partner or employee;

a. holds financial interest in any entity, other than the University, that has a substantial interest in the negotiation or approval of Agreement documents or performance of the research; or

b. receives a gift or gratuity from any entity, other than the University, that has a substantial interest in the negotiation or approval of Agreement documents or performance of the research.

I further certify that I do not have a financial interest in any entity, other than the University, that has a substantial interest in this Agreement, and that I am not negotiating nor do I have an arrangement concerning prospective employment with any entity that has a substantial interest in the negotiation or approval of Agreement documents or performance of the research.

Except as follows:

[If none, write NONE. If any disclosure is to be made, please state specific details so that the Contracting Officer may determine the possible consequences of your involvement in this Agreement. A supplemental disclosure may be required.]

By:

Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_